



Attorney's Docket No.: 11910-002001

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[Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Philip A. Cooper et al.  
Serial No. : 09/641,589  
Filed : August 18, 2000  
Title : GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED  
FUTURE PRICES OF ASSETS

Art Unit : 3628  
Examiner : Jason M. Borlinghaus

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the reference listed on the attached PTO-1449 form. This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050, reference 11910-002001.

Respectfully submitted,

Date: \_\_\_\_\_

2/18/5

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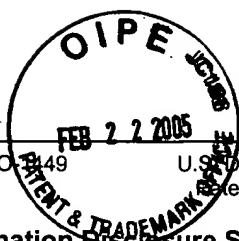
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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature : [Signature of Lesley J. Arcidy]  
Lesley J. Arcidy  
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11910-002001	Application No. 09/641,589
	Applicant Philip A. Cooper et al.		
	Filing Date August 18, 2000	Group Art Unit 3628	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	Britten-Jones et al. , "Option Prices, Implied Price Processes, and Stochastic Volatility", The Journal of Finance, Vol. LV, No. 2, April, 2000, pp. 839-966.
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	